



# OHIO TRAFFIC SAFETY OFFICE

---

FFY 2019 Proposal Guidelines Presentation

# FFY 2019 Solicitation Package

The FFY 2019 Solicitation Package is available in PDF format online at <http://ohiohighwaysafetyoffice.ohio.gov>

On the left side, click on “Grant/Federal Programs”. Under FFY 2019 Grants, click on “Grant Solicitation Package”.

- All grants - Pages 3 – 30
- General Grant – Pages 52 – 56

This presentation will cover highlights of the package, review the entire package before submitting your proposal.

**All 2017 data referenced in this document is preliminary.**

# Contact Information – District 1

County	OSP Patrol Post
Allen	Lima
Defiance	Defiance
Fulton	Toledo
Hancock	Findlay
Hardin	Findlay
Henry	Bowling Green
Lucas	Toledo
Paulding	Van Wert
Putnam	Lima
Van Wert	Van Wert
Williams	Defiance
Wood	Bowling Green

## Contact Information:

**OTSO Planner** - Kelvin Williams: 614/466-3250

**LEL** - Frank Arvay: 419/213-0084

## OSP Patrol Post:

<http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 2

County	OSP Patrol Post
Crawford	Bucyrus
Erie	Sandusky
Huron	Norwalk
Marion	Marion
Ottawa	Sandusky
Richland	Mansfield
Sandusky	Fremont
Seneca	Fremont
Wyandot	Bucyrus

**Contact Information:**

**OTSO Planner** - Kelvin Williams: 614/466-3250

**LEL** - Frank Arvay: 419/213-0084

**OSP Patrol Post:**

<http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 3

County	OSP Patrol Post
Ashland	Ashland
Cuyahoga	Cleveland
Holmes	Wooster
Lorain	Elyria
Medina	Medina
Stark	Canton
Summit	Canton
Wayne	Wooster

**Contact Information:**

**OTSO Planner** - Michelle Liberati-Cobb: 614/466-3250

**LEL** – Jack Fleming: 440/787-3848

**OSP Patrol Post:** <http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 4

County	OSP Patrol Post
Ashtabula	Ashtabula
Columbiana	Lisbon
Geauga	Chardon
Lake	Chardon
Mahoning	Canfield
Portage	Ravenna
Trumbull	Warren

**Contact Information:**

**OTSO Planner** - Michelle Liberati-Cobb: 614/466-3250

**LEL** – Jack Fleming: 440/787-3848

**OSP Patrol Post:**

<http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 5

County	OSP Patrol Post
Auglaize	Wapakoneta
Champaign	Springfield
Clark	Springfield
Darke	Dayton
Greene	Xenia
Logan	Marysville
Mercer	Wapakoneta
Miami	Piqua
Montgomery	Dayton
Preble	Dayton
Shelby	Piqua
Union	Marysville

## Contact Information:

**OTSO Planner** - Kelvin Williams: 614/466-3250

**LEL** – Paul Humphries: 513/266-0639

## OSP Patrol Post:

<http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 6

County	OSP Patrol Post
Delaware	Delaware
Fairfield	Lancaster
Franklin	Columbus
Knox	Mt. Gilead
Licking	Granville
Madison	West Jefferson
Morrow	Mt. Gilead
Perry	Lancaster
Pickaway	Circleville

## Contact Information:

**OTSO Planner** - Jackie Stephenson: 614/466-3250

**LEL** - Mike Brining: 614/946-2878

**OSP Patrol Post:** <http://statepatrol.ohio.gov/Counties.stm>



# Contact Information – District 7

County	OSP Patrol Post
Belmont	St. Clairsville
Carroll	New Philadelphia
Coshocton	Zanesville
Guernsey	Cambridge
Harrison	Steubenville
Jefferson	Steubenville
Monroe	St. Clairsville
Morgan	Marietta
Muskingum	Zanesville
Noble	Cambridge
Tuscarawas	New Philadelphia
Washington	Marietta

## Contact Information:

**OTSO Planner** - Michelle Liberati-Cobb: 614/466-3250

**LEL** – Mike Brining: 614/946-2878

**OSP Patrol Post:** <http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 8

County	OSP Patrol Post
Adams	Georgetown
Brown	Georgetown
Butler	Hamilton
Clermont	Batavia
Clinton	Wilmington
Fayette	Wilmington
Hamilton	Cincinnati
Highland	Wilmington
Warren	Lebanon

## Contact Information:

**OTSO Planner** - Jackie Stephenson: 614/466-3250

**LEL** – Paul Humphries: 513/266-0639

**OSP Patrol Post:** <http://statepatrol.ohio.gov/Counties.stm>

# Contact Information – District 9

County	OSP Patrol Post
Athens	Athens
Gallia	Gallipolis
Hocking	Athens
Jackson	Jackson
Lawrence	Ironton
Meigs	Gallipolis
Pike	Chillicothe
Ross	Chillicothe
Scioto	Portsmouth
Vinton	Jackson

**Contact Information:**

**OTSO Planner** - Jackie Stephenson: 614/466-3250

**LEL** - Mike Brining: 614/946-2878

**OSP Patrol Post:** <http://statepatrol.ohio.gov/Counties.stm>

# Contact Information

- Contact information may change throughout the year, the OTSO will keep sub-recipients as up to date as possible.
- These Contact Information slides will be updated as needed. Please refer back to these slides.

# Traffic Safety Grant Program Overview

- The Federal Highway Safety Act of 1966 directed the National Highway Traffic Safety Administration (NHTSA) and the Federal Highway Administration (FHWA) of the U.S. Department of Transportation to jointly administer various highway safety projects. This federal grant program provides federal funds administered through the Ohio Department of Public Safety (ODPS), Ohio State Highway Patrol (OSHP)/ Ohio Traffic Safety Office (OTSO) to eligible entities to be used in part for, but not limited to, traffic safety education, enforcement and awareness.

# Traffic Safety Grant Program Overview

- Funds are to be used for short-term highway safety support, with the intent that other sources of funding will sustain programs over the long term.
- The federal grant program operates on a reimbursement basis.
- The OTSO will use the following criteria to determine each grant proposal's funding eligibility:
  - Met the submission deadline
  - Met the minimum proposal requirements
  - Explained how the proposal specifically helps reduce Ohio's traffic-related fatal crashes

# Traffic Safety Grant Program Overview

- The OTSO will award grants based on:
  - The amount of funding available to the OTSO
  - The total number of proposals submitted to the OTSO
  - Past performance of the sub-grantee (if applicable)
- The OTSO reserves the right to limit the number of grants awarded and the awarded amounts at any time based on available funding, ability to impact statewide goals and performance.

# Traffic Safety Grant Program Overview

- FFY 2019 Traffic Safety Grant Proposals must be submitted via the website no later than 11:59 p.m. on Monday, May 21, 2018.
- Late proposals will not be considered for funding. The OTSO is not responsible for an applicant's personal computer or internet access failure occurring at the proposal deadline. In the event that the OTSO experiences an internal server malfunction, the OTSO will notify Proposal Administrators of an updated submission deadline.



# Competitive Grants Funding Priorities

- Ohio has joined the national effort, *Toward Zero Deaths: A National Strategy on Highway Safety*.
- In 2017, there were 1,177 fatalities on Ohio's roads. Ohio's current fatality rate is 0.99 fatalities per 100 million vehicle miles traveled.
- Competitive grants will be directed toward those state and local community traffic safety activities that will have the greatest impact toward fatal crash reduction.
- Each proposal should focus on one or more of these issues:
  - Alcohol/drug-impaired driving
  - Occupant protection
  - And/or Speed management

# Problem Identification Process

- For FFY 2019, the OTSO conducted an in-depth analysis of traffic crash data to identify and prioritize traffic safety problems and to target fatal crash locations for traffic safety programming. The OTSO focuses the majority of its grant funding on these areas. They have been identified as locations where programming may have the most impact on a statewide level.
- The data used in this process include traffic crash data from 2015, 2016 and 2017.

# Standard Proposal Guidelines for All Grants

## Reimbursement Only Policy

- This federal grant program operates on a reimbursement basis. The administering agency must first incur the cost for approved expenditures and then apply for the reimbursement. Appropriate and accurate documentation will be required for each expense.

## Food

- Costs relating to food for meetings, award banquets, etc. are not allowable.

# Standard Proposal Guidelines for All Grants

## Eligible Entities

- To be eligible to receive funds under this federal grant program, the proposing agency must be one of the following:
  - Local unit of government or council of government (e.g., county, city, township, village, law enforcement agency, board of education, health department, metropolitan planning organization, etc.)
  - State agency
  - Non-profit organization, church, hospital, educational service center, college or university

# Standard Proposal Guidelines for All Grants

## Required Personnel

- Each proposal is required to have at least one of each of the following:
  - *Authorizing Official* – the authorizing official is usually the head of an organization/agency. This individual must possess or have the ability to obtain the legislative authority to enter into an agreement with the OTSO, should the proposal be approved for funding.
  - *Project Director* – The project director is designated as the agency's liaison with the OTSO by the authorizing official. Should the proposal be approved for the OTSO funding, this individual will oversee the daily activities of the grant and ensure that the scope of work and evaluation are completed as proposed. This individual will also serve as the primary contact person for the grant.
  - *Fiscal Officer* – The fiscal officer is responsible for the fiscal activities of the agency. This individual is responsible for overseeing the grant's budget, as well as submitting properly prepared claims for reimbursement to the OTSO.

# Standard Proposal Guidelines for All Grants

## Grant Period and Project Commencement

- This federal grant period has a 12-month cycle (October 1 – September 30).
- Each approved proposal will begin grant activity based upon the assigned Authorized to Proceed Date and an executed agreement between the OTSO and the administering agency.
- It will terminate at the end of the federal fiscal year (September 30, 2019), unless otherwise specified in the agreement.

# Standard Proposal Guidelines for All Grants

## Grant Period and Project Commencement

- No costs will be reimbursed for any activity performed prior to the designated authorized to proceed date or after the termination date of the agreement.
- Unspent funds that remain at the end of the grant period will be retained by the OTSO.

# Standard Proposal Guidelines for All Grants

## Preparing the Grant Proposal

- Adhere to the requirements described in each section. Periodically reviewing the instructions as the proposal is prepared will ensure full compliance with the requirements.
- Submitting a proposal that does not comply with the requirements could adversely affect the consideration that the proposal receives in the review and/or award process.
- Write a clear concise grant proposal that can be easily understood. Do not include past grant award activities and/or accomplishments.



# Proposal Types

- Impaired Driving Enforcement Program/Selective Traffic Enforcement Program
- OVI Task Force
- Safe Communities
- General (for all other traffic safety activities not listed)

# Traffic Safety Grant Funding Policies

- All agencies receiving grants must comply with all federal, state, and local laws and regulations, including those mentioned in this section, as well as those noted in the Terms and Conditions (pages 12 – 30 of the FFY 2019 Grant Solicitation Package).

## Funding Limitations

- The basic funding eligibility factors listed in this document will apply to NHTSA grants in accordance with 23 USC Chapter 4 Section 402, 23 USC Section 164, and 23 USC Section 405. They should be implemented in conjunction with the appropriate Office of Management and Budget (OMB) circular:
  - State and Local Governments – 2 CFR Part 225
  - Educational Institutions – 2 CFR Part 220
  - Non-Profit Organizations – 2 CFR Part 230
  - Hospitals – 2 CFR Part 215

# Traffic Safety Grant Funding Policies

## Funding Limitations

- To be allowable, costs must be necessary and reasonable.
- If in doubt, contact the OTSO to determine if a particular cost item is fundable prior to submission of the proposal.

# Traffic Safety Grant Funding Policies

## Auditing Requirements

- You are required to retain a copy of your most recent Audit Report, Management Letter and/or single Audit Report and provide it to the OTSO upon request.

## Program Income

- Program income generated through the federal grant must be accurately documented (e.g., activity generating income, amount generated, how funds will be used to support traffic safety). Program income must be reported and made available to OTSO upon request.

# Terms and Conditions

The complete Terms and Conditions is on pages 12 – 30 of the FFY 2019 Grant Solicitation Package.

- 1) Agreement

Any inconsistencies between agreements and any attached documents shall be resolved in favor of the most current revised agreement on the online system, which shall be the controlling document.

- 6) Political Activity (Hatch Act)

The sub-recipient will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- 8) Restriction on State Lobbying

None of the funds under this program will be used for any activity specifically designed to urge or influence a state or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any state or local legislative body. Such activities include both direct and indirect (e.g., “grassroots”) lobbying activities.

# Terms and Conditions

- 14) **Supplanting**

Grant funds must not be used to supplant state or local funds, meaning that grant funds must not be used to replace routine or local expenditures for costs of activities that constitute general expenses required to carry out the overall responsibilities of the sub-recipient and/or its sub-recipients.

- 17) **Regional Meetings**

Sub-recipients must attend all scheduled regional meetings to coordinate and review activity including current crash data throughout the region to achieve high visibility enforcement and awareness.

# Terms and Conditions

- 18) Press Release

Each sub-recipient is required to submit a press release to their local media announcing the grant award, including amount and purpose of award.

- 20) Required Personnel

Each proposal/grant is required to have, at a minimum, an authorizing official, a project director and a fiscal officer. See page 8 of the Grant Solicitation Package for a description of each. This information must be kept current.

- 21) GRANTS User Accounts/Password Security

For security purposes, each person using the GRANTS Plus system must have a separate user name and password. Each account must have its own email account. **Sub-recipient agency personnel must not share passwords with agency staff or ODPS staff.**

# Terms and Conditions

- 22) Labor Costs

All work (personnel labor costs) reimbursed under this grant must be for actual paid hours worked. Labor costs based on a percentage of hours worked or hours accrued as comp time will not be accepted for reimbursement. Leave hours (e.g., sick, vacation, personal, holiday, etc.) are not reimbursable as direct labor. The employer's share of fringe benefits (e.g., retirement, Medicare, etc.) are eligible for reimbursement. Documentation verifying fringe percentages must be available to the OTSO upon request.

- 23) Personnel Activity Reports

Personnel Activity Reports may be required for any individual working on this federal grant program. These reports, at a minimum, must document date worked, actual detailed explanation of activity performed and the number of hours per date to be charged to this agreement. This document must be signed and dated by the individual and their immediate supervisor, maintained by the administering agency and submitted as a part of the reimbursement documentation required.



# Terms and Conditions

- 27) **Supplies, Materials, Educational Materials**

All supplies, materials, and educational materials must be used for approved traffic safety activities throughout its useful life. All purchases must be submitted to and approved by the OTSO on a Request to Purchase form prior to incurring the cost. Outreach efforts should be made and materials should be provided to reach the ethnic and/or limited English speaking populations.

Alcohol is not allowed to be purchased with funds from this grant.

The sub-recipient must submit a final draft copy of all materials to the OTSO for approval prior to production. In addition:

- a) All materials shall include federal sponsorship credit and/or disclaimer clauses as directed by the OTSO.
- b) All public service announcements funded with federal funds, in whole or in part, must be closed captioned for the hearing impaired.
- c) All data results, reports, equipment, supplies and other materials (including but not limited to electronic versions) developed by the sub-grantee must be available to the OTSO upon request.

# Terms and Conditions

- 29) Travel

Any request for travel and associated costs must be submitted to and approved by the OTSO on a Request to Purchase form prior to incurring any travel related costs.

Attendance at any conference/seminar/workshop that charges a registration fee must be submitted to and approved by the OTSO on a Request to Purchase form prior to registration. All conferences/seminars/workshops must be traffic safety related; an agenda must be provided to the OTSO.

All out of state travel conducted under this grant agreement will be reimbursed using U.S. General Services Administration (GSA) rates based on travel location or your agency's travel policy whichever is less. A current travel policy must be submitted with the grant proposal. The OTSO will not reimburse for meals provided by the conference. Dietary restrictions need to be worked out with the conference organizer. Alcohol is not allowed to be purchased with funds from this grant.

# Terms and Conditions

- 30) Training

The cost of training personnel for traffic safety purposes may be funded when the training supports both the goals and scope of work of the approved grant program and the goals of the OTSO. All training requests and purchases must be submitted to and approved by the OTSO on a Request to Purchase form prior to incurring the cost.

- 31) Request to Purchase (RTP)

All RTPs must be submitted to OTSO by August 1, 2019.

# Terms and Conditions

- 33) Reimbursement Claims/Progress Reports

This agreement will operate on a reimbursement basis only. The administering agency must first incur the costs for approved expenditures and then apply for the reimbursement. Appropriate and accurate documentation will be required for each expense.

Expenditure Reports with accurate documentation and corresponding report information must be submitted monthly. If there wasn't any activity, a zero expenditure report must be submitted. The expenditure report must be submitted online to the OTSO by the 15<sup>th</sup> calendar day of the following month. Failure to submit these reports in a timely manner will cause a delay in payment of claims, may jeopardize funding for present and future projects and may result in being placed in "Sub-Recipient on Notice" status.

# Terms and Conditions

- 37) Final Report and Final Claim

A final comprehensive annual report **and** a properly documented final claim for reimbursement are due to the OTSO October 15<sup>th</sup>.

**The final reimbursement claim will not be reviewed until the annual report has been submitted.**

- a) If either the final reimbursement claim or the annual report are not submitted by November 1<sup>st</sup>, a 10 percent penalty will be deducted from the final reimbursement claim.
- b) If either the final reimbursement claim or the annual report are not submitted by November 15<sup>th</sup>, the final claim will not be reimbursed.

# Terms and Conditions

- 38) Records Retention

All records relating to project activity and/or expenditures must be maintained for review by representatives of the federal or state government for at least three years following the final reimbursement payment.

- 40) Termination of Agreement

Either the OTSO or the sub-recipient may terminate this Agreement for any reason by giving the other party 30 days written notice. If the Agreement is cancelled under this provision, the OTSO shall reimburse the sub-recipient for approved work completed and documented to that date. Upon termination all data results, reports and other materials developed by the sub-recipient will become the property of the OTSO. All of the equipment, materials and/or supplies provided to the sub-recipient for use under this agreement must be returned to the OTSO upon request within 30 days of said written notice. Should any change in federal funding adversely affect the OTSO's ability to complete the fiscal year's activities, the OTSO has the right to revise or terminate the agreement in writing.

# Terms and Conditions

- 59) Personnel Activity Reports

Personnel Activity Reports are required for all individuals working on this federal grant program. These reports, at a minimum, must document date worked, actual detailed explanation of activity performed and the number of hours per day to be charged to this agreement. This document is to be signed and dated by the individual and their immediate supervisor. It must be included as a part of the reimbursement documentation.

# GENERAL GRANT

---

Proposal Guidelines



# General Grant Proposals

- General traffic safety grant proposals must demonstrate cost effectiveness, measurable results, and the potential to positively impact the traffic-related goals both at the state and local levels.
- Criteria used in selecting general projects:
  - Who is being served?
  - What is the purpose and what is the intended outcome?
  - Where will the project be undertaken?
  - Why is the project needed?
  - When will the project take place and when will the results be accomplished?
  - How does the project relate to identified high risk areas? How will the results be measured? How will the project reduce the overall number of traffic related fatalities in Ohio?

# General Grant Proposals

- Examples of why proposals get turned down:
  - Proposals that:
    - Are not performance-based and data-driven or will have little impact on reducing traffic related fatalities (“feel-good” projects).
    - Do not relate to the traffic safety problems identified by the OTSO problem identification process.
    - Are of poor quality.
    - Are submitted by organizations or agencies that have had a poor performance record in managing projects.
    - Use federal funding to replace existing state or local funding instead of funding a new or expanded effort (“supplanting” is prohibited by federal regulation).
    - Fund staff positions that do not relate to the proposed project.

# General Grant Proposals

## Allowable Costs

- Personnel/Coordination Expenses (Salaries and Wages)
- Contractual Services
- Meetings and Conferences
- Equipment
- Supplies and Materials
- Travel
- Training
- Public Information and Education (PI & E)
- Vehicle Mileage

Refer to pages 52 – 54 for details on each cost category.

# General Grant Proposals

## Unallowable Costs

- Phone
- Rate/Cost Allocation Charges
- Paid Advertising/Public Communications
- Equipment
- Certain Labor Costs
- Alcoholic Beverages
- Food
- Vehicle Fuel
- Entertainment
- Facilities and Construction
- Office Furnishings and Fixtures
- Lobbying



# General Grant Proposals

## Unallowable Costs (cont.)

- Central Service Charges

Refer to pages 55 – 56 for details on each cost category.

## Next Steps

- The OTSO will begin proposal reviews in June.
- If corrections are needed in the proposal, it will be opened up for corrections. You will receive an email stating that modifications are required. Return to the GRANTS Plus System. The proposal will be on your Task List. Click on the proposal link to open the proposal.
- After all corrections have been made, return to the proposal menu and click “Submit Modified Application”.

# Next Steps

- The OTSO strives to have all approved grants executed by mid-September. In order to achieve this, proposals that have been sent back for corrections need to be returned to the OTSO promptly for re-review.

# Questions?

- If you have any questions, please email your questions to [otso@dps.ohio.gov](mailto:otso@dps.ohio.gov)



# Reminder

- All FFY2019 Traffic Safety Grant Proposals must be submitted via the website no later than 11:59 p.m. on **Monday, May 21, 2018.**